

International Relations 408: Global Democratization

Spring 2007

When: Tu & Th 3:30-4:50 pm
Where: VKC 259
Office Hours: Tu 2:00-3:30

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1. Course Description

This class focuses on recent thinking about democracy and efforts by the international community to promote democracy. We will start out by considering some standard works and current debates in democratic theory, addressing also the links between theorizing about democracy, development and human rights. Then we will consider the sweeping trend toward democracy in global politics. Thereafter we will focus on various global, regional and national initiatives to promote democracy. We conclude the course by drawing some broad lessons about democracy promotion.

2. Requirements

- i) *Three short papers* (each paper is worth 10% of the final grade). These short papers (between 3 and 4 double-spaced pages) will focus on a question handed out in class.
- ii) A *class presentation* of a 10 double-spaced pages *paper* (20% of the final grade) offering a democracy assessment of a country of the student's choice.
- iii) An in-class *mid-term* (20%), and an in-class *final* exam (30%).

Topic Outline and Reading Assignments

I. Introduction

Problems in the Theory and Practice of Democracy (Meeting 1 & 2: January 9 & 11)

No assigned readings

II. Democratic Theory: Evolving Conceptions

II. i. The Election of Decision Makers: Schumpeter (Meeting 3: January 16)

Joseph Schumpeter, *Capitalism, Socialism, and Democracy* (New York: Harper, 1942), pp. 240-73.

II. ii. Beyond Elections I: Dahl and the Quality of Democracy (Meetings 4, 5 & 6: January 18, 23 & 25)

Robert Dahl, *Democracy and its Critics* (New Haven, CT.: Yale University Press, 1989), Chs. 8 and 9, and pp. 220-22.

Larry Diamond and Leonardo Morlino, "The Quality of Democracy: An Overview," *Journal of Democracy* 15, 4 (2004): 20-31.

II. iii. Beyond Elections II: Human Development and Human Rights (Meetings 7 & 8: January 30 & February 1)

Amartya Sen, *Development as Freedom* (Oxford: Oxford University Press, 1999), Chs. 1 and 6.

Stanford Encyclopedia of Philosophy entry on "Human Rights." Read at: <http://plato.stanford.edu/entries/rights-human/>

Jack Donnelly, "Human Rights, Democracy, and Development," *Human Rights Quarterly* 21, 3 (1999): 608-32.

III. Global Politics: Historical Trends and Current Issues

III. i. Democratization (Meetings 9 & 10: February 6 & 8)

John Markoff, "Waves of Democracy," pp. 30-36, in Markoff, *The Great Wave of Democracy in Historical Perspective* (Ithaca, NY: Western Societies Occasional Papers # 34, 1995).

Samuel P. Huntington, "After Twenty Years: The Future of the Third Wave," *Journal of Democracy* 8, 4 (1997): 3-12.

III. ii. Limits to Democratization (Meetings 11 & 12: *February 13 & 15*)

Robert D. Kaplan, "Was Democracy Just a Moment?" *The Atlantic Monthly* 280, 6 (December 1997): 55-80.

Fareed Zakaria, "The Rise of Illiberal Democracy," *Foreign Affairs* 76, 6 (November/December 1997): 22-43.

James Kurth, "Ignoring History: US Democratization in the Muslim World," *Orbis* 49, 2 (2005): 305-22.

III. iii. Overview (Meeting 13: *February 20*)

Larry Diamond, "Universal Democracy?" *Policy Review* (June/July 2003): 3-25.

Midterm Exam - Meeting 14: February 22

IV. The New Democracy Promotion

IV. i. Actors and Agendas (Meetings 15 & 16: *February 27 & March 1*)

Michael McFaul, "Democracy Promotion as a World Value," *The Washington Quarterly* 28, 1 (2004-05): 147-63.

Kim Campbell and Sean C. Carroll, "Sustaining Democracy's Last Wave," *Georgetown Journal of International Affairs* 6, 2 (Summer/Fall 2005): 45-52.

Roland Rich, "Bringing Democracy into International Law," *Journal of Democracy* 12, 3 (July 2001): 20-34.

IV. ii. Some Constraints (Meeting 17: *March 6*)

Thomas Carothers, "Backlash Against Democracy Promotion," *Foreign Affairs* 85, 2 (2006): 55-68.

Carl Gershman and Michael Allen, "The Assault on Democracy Assistance," *Journal of Democracy* 17, 2 (2006): 36-51.

IV. iii. The Role of Information and Analysis in Politics (Meeting 18: *March 8*)

No assigned readings

Spring Recess: March 12-17

V. The Challenge of Measurement in the Field of Politics

V. i. Data and Methods (Meeting 19: March 20)

UNDP (United Nations Development Program), “The State of Data on Political and Socio-Economic Matters,” pp. 18-19, in Statistical Compendium of UNDP, *Democracy in Latin America: Towards a Citizens’ Democracy* (New York: UNDP, 2005).

Gerardo L. Munck, “Measuring Democratic Governance: Central Tasks and Basic Problems,” pp. 427-59, in Deepa Narayan (ed.), *Measuring Empowerment: Cross-Disciplinary Perspectives* (Washington, DC: World Bank, 2005).

V. ii. A Practical Exercise: The HDI (Meetings 20 & 21: March 22 & 27)

UNDP, “Defining and Measuring Human Development,” Ch. 1 in UNDP, *Human Development Report 1990* (New York: Oxford University Press, 1990).

UNDP, *Human Development Report 2006* (New York: Oxford University Press, 2006), read the technical note on the human development index (HDI) on pages 383-84 of the “Technical Note 1. Calculating the human development indices” and the section on the data sources used in the HDI on pages 276-79 of the part entitled “Table 1: about the human development index.” Finally see the data on the HDI on pages 283-86.

VI. Some Data-Reliant Initiatives

VI. i. International IDEA’s Democracy Assessments (Meetings 22 & 23: March 29 & April 3)

David Beetham, “The State of Democracy Project,” pp. 145-55, in International IDEA, *Ten Years of Supporting Democracy Worldwide* (Stockholm: International Institute for Democracy and Electoral Assistance 2005).

International IDEA, “Democracy Assessment: The Basics of the International IDEA Assessment Framework.”

International IDEA, *Handbook on Democracy Assessment* (2002), Part 3: “The Assessment Framework.” [This is a long document. Simply glance over it to get a sense of different sources of information.]

Todd Landman, Marco Larizza, Claire McEvoy and Edzia Carvalho, *State of Democracy in Central Asia. A Comparative Study* (Essex, United Kingdom: Human Rights Centre, University of Essex, February 2006). [This is a long document too. So read quickly, but pay attention to the data sources used to measure different concepts and the conclusions extracted from the data.]

VI. ii. The US MCA Foreign Assistance Program (Meetings 24 & 25: April 5 & 10)

Jennifer Windsor, "Democracy and Development: The Evolution of U.S. Foreign Assistance Policy," *The Fletcher Forum of World Affairs* 27, 2 (2003): 141-50.

Millennium Challenge Corporation (MCC), *The Fiscal Year 2007 Selection Process for MCA Eligible Countries*. [To understand the MCA process, start with this brief summary. For more on the MCC, the MCA and the selection criteria, go to <http://www.mcc.gov/index.php>]

Millennium Challenge Corporation (MCC), *Report on the Criteria and Methodology for Determining the Eligibility of Candidate Countries for Millennium Challenge Account Assistance in Fiscal Year 2007* [Read page 1, skip the next part and start reading again when you get to the section on "Criteria and Methodology" on page 7.]

Millennium Challenge Corporation (MCC), *Report on Countries That Are Candidates for Millennium Challenge Account Eligibility for Fiscal Year 2007 and Countries That Would Be Candidates But For Legal Prohibitions*.

Millennium Challenge Corporation (MCC), *Report on the Selection of Eligible Countries for Fiscal Year 2007*.

VI. iii. Election Monitoring (Meetings 26 & 27: April 12 & 17)

Thomas Carothers, "The Rise of Election Monitoring: The Observers Observed," *Journal of Democracy* 8, 3 (1997): 17-31

Carter Center et al., *Declaration of Principles for International Election Observation and Code of Conduct for International Election Observers*, an agreement spearheaded by the Carter Center, the NDI, and the United Nations Electoral Assistance Division, and signed by 21 organizations on October 24, 2005.

Daniel Calingaert, "Election Rigging and How to Fight It," *Journal of Democracy* 17, 3 (2006): 138-51.

VII. Conclusion

VII. i. Taking Stock (Meeting 28: April 19)

Carnegie Council on Ethics and International Affairs, *Multilateral Strategies to Promote Democracy* (New York: Carnegie Council, 2003).

VII. ii. Student Presentations (Meetings 29 & 30: April 24 & 26)

Final Exam - Tuesday, May 8, 2:00-4:00 p.m.