

**JAPANESE FOREIGN POLICY**

*T Th 9:30-10:50 am  
Room VKC204*

*Saori N. Katada  
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*Office Hours  
T 11am-12:30pm, Th 3:30-5 pm and by appointment*

**Course Objectives**

The objective of the course is to introduce students to the analysis of Japanese foreign policy and Japan's relationship with the rest of the world from various perspectives.

Students are expected to do all the assigned readings before class to have the foundation to understand the lectures, and to participate actively in group activities and class discussion. They will also take quizzes and exams, complete an approximately 15-page research paper and serve as a panelist in the panel discussions in the last several weeks of the course.

**Prerequisites**

- Basic knowledge of Japan and its relationship with the United States. Students interested in Japan and the Pacific Rim countries are encouraged to read relevant sections of The Los Angeles Times or other major newspapers, as well as weekly magazines such as Time, Newsweek, and the Economist. In addition, I recommend that you make good use of the Internet.
- IR 210 (Introduction to International Relations) or equivalent knowledge of international issues and world affairs.

**Official Note**

Students requesting academic accommodations based on disability are required to register with Disability Services and Programs (DSP) each semester. A letter of verification for approved accommodations can be obtained from DSP when adequate documentation is filed. Please be sure the letter is delivered to me as early in the semester as possible. DSP is open Monday-Friday, 8:30-5:00. The office is in Student Union 301 and their phone number is (213) 740-0776.

**Assignments and Course Grades**

**Class participation**

**10%**

Students should have read the reading assignment before the relevant lecture, and everyone is expected to discuss topics covered in class. Students will also be called upon to orally present their opinions. Attendance and timely submission of assignments are very important. Attendance is occasionally taken, and regular absence and tardiness without legitimate and documented reasons will be penalized. On the other hand, simply being present in the class will only guarantee the maximum of B+ in this category. Be proactive. Ask questions, come to office hours, take leadership in discussions, and be an active member of the class.

**Quizzes** **10%**

There are four quizzes (✍️): (1) A map quiz on the map of the Pacific Rim countries and their capitals, as well as major cities in Japan, (2) A quiz on Japanese history after the Meiji Restoration, and (3) Two ID quizzes on important terms including some Japanese words used widely in the United States and introduced in class. Quizzes missed can be made up within 48 hours, but not any later.

**Midterm** **20%**

One closed-book in-class midterm exam is scheduled on **October 13 (Th)**. Please bring your own blue books. There is no make-up exam without prior agreement between the student and myself. The coverage will be announced prior to the exam.

**Research Paper and the Panel Discussion (📄)** **40%**

**Research paper (30%)**: The paper must be between 3,000 and 4,000 words (if you use small fonts, it will be from 13 to 15 pages). The topic of the paper has to be issues relevant to the materials covered in class. Paper theme groups and suggested paper topics as well as the format of panel discussions are attached (**Attachment 2**). Do not rely heavily on magazines (like Time or Newsweek) or Internet sources. Try to use academic journals, books and government documents.

*Deadlines:*

Topic and title cleared by me by **September 20**.

300-word proposal of the paper with an annotated bibliography by **October 4**.

Panel discussion groups announced by **October 11**.

Final draft of the paper, which will be used for Panel Discussions due **November 7 (Monday by 4:00 pm)**.

(Optional) Revised paper due **within five days after your Panel Discussion**.

The paper will receive an F if you miss the final draft deadline (November 7). You have an option of either using the final draft as the final version of your paper, or revising it once again as long as the final version is handed in to me within five days after your panel discussion. If you fail to turn the revised paper in within the five days, I will take your final draft to be the final version.

**Panel discussion (10%)**: Each student will participate in a panel, the grouping of which will be designed around related research paper topics that the students have chosen. Students' responsibility is to stimulate and lead discussion around the panel members' common topic. Students can submit reading lists and/or reading materials they want the class to read in preparation for the panel session by **November 9 (Wed), noon**. Each student has to bring a one- to two-page an outline of his/her paper presentation, and each group has option of using other materials to conduct effective panel discussion. The sessions will be scheduled from **November 10 through 29**, with each panel consisting of about five members.

**Final Exam** **20%**

The final exam will be a five-day take home exam covering all the materials of the course. The questions will be distributed to you on **December 1 (Th)** in class. If you fail to pick

it up in class, you can come by my office (VKC 309) that day until 4 pm, or receive it by E-mail (those on the mailing list). The exam is due in my office on **December 8 (Th), noon**. I strongly prefer that you deliver a hard copy, but in case of emergency, you can E-mail me your exam answers (attach the document in text form). If you E-mail, I will not guarantee the receipt of your exam until you receive my confirmation. Students also have option of turning the exam in early, but no late exam will be accepted.

### **Important Additional Notes**

I require all the students to get an E-mail account and access to the Internet. We will make extensive use of our class website (<http://totale.usc.edu>, see **Attachment 1** for instructions to access the page). Announcements, discussion questions, handout and reading materials, and your presentation information will all be posted on this web page. Not being able to access this website will create a serious disadvantage.

I also use E-mail to announce any changes in the class schedule. You can also get in touch with me most easily by E-mail, which is the fastest and most certain way. I may not always be able to respond to your phone messages, but I promise that I will respond to your E-mail messages as quickly as possible.

### **Main Books for the Course (in the bookstore and one copy on reserve at Leavey library)**

W.G. Beasley, The Rise of Modern Japan, 2000. (Beasley)\*

Michael Green, Japan's Reluctant Realism, 2001 (Green)

Ellis Krauss and T. J. Pempel, Beyond Bilateralism: U.S.-Japan Relations in the New Asia-Pacific, 2004, (K&P)

\* If you bought 1990 or 1995 edition of this book (used), you need to copy the last chapter from the 2000 edition.

### **Articles on Reserve at Leavey Library (LL)**

Readings marked (LL) are on electronic reserve via Blackboard, and a physical copy can be placed on reserve at Leavey Library at your request. See the **Attachment 3** for the complete titles.

### **Course Schedule**

#### **Getting Ready and Getting Started**

*August 23 (T): Introduction*

Reading: No reading assignment

#### **Brief History of Japan**

*August 25 (Th): Building a Modern State*

Reading: Beasley Chap. 1-5

*August 30 (T): A Road to World War II*

Reading: Beasley Chap. 9-12

**September 1 (Th): Video “Occupying Japan”**

Reading: Beasley Chap. 13

**September 6 (T): Post-WWII Changes and Economic Growth**

Reading: Beasley Chap. 14-15

**September 8 (Th): Political Change since the 1970s**

Reading: Beasley Chap. 16-17

**September 13 (T): Discussion: Yasukuni Shrine and Burden of history** ✍️ **History Quiz**

Reading: Kristof (LL), Shibuichi (LL)

### **Japanese Foreign Policy Formation**

**September 15 (Th): Identity and Culture**

Reading: Green Ch. 1, Inoguchi (LL), Matthews (LL)

**September 20 (T): Agents and Institutions**

Reading: Green Ch. 2, Pempel (LL)

📄 **Paper ideas/title due**

**September 23 (Th): Theories on Japanese Foreign Policy Characteristics**

Reading: Calder (LL), Berger (LL), Blake (LL)

### **Japan’s Bilateral and Trilateral Relations**

**September 27 (T): U.S.-Japan Security Relations**

Reading: K&P Ch. 3 (Hughes and Fukushima), Tsuchiyama (LL)

✍️ **Map Quiz**

**September 29 (Th): US-Japan Economic Relations**

Reading: Campbell (LL), Uriu (LL)

**October 4 (T): Japan-China and the United States**

Reading: Green Ch. 3, K&P Ch. 4 (Mochizuki)

📄 **Paper proposal due**

**October 6 (Th): Japanese Relations with Korea**

Reading: Green Ch. 4, Fouse (LL)

✍️ **ID Quiz 1**

**October 11 (T): Japanese Relations with Russia (and review)** 📄 **Panel group announced**

Reading: Green Ch. 5

### **MIDTERM**

**October 13 (Th) in class (1 hour 20 minutes)**

### **Japan’s Regional Relations**

**October 18 (T): Japan and Security in Asia**

Reading: Green Ch. 6, Leavitt (LL)

**October 20 (Th): U.S.-Japan Rivalry and Cooperation in Asia**

Reading: K&P Ch. 5 (MacIntyre), Ch.7 (Hatch), Ch. 9 (Amyx)

**October 25 (T): Japan and Regional Institutions**

Reading: Green Ch. 7 (p. 207-227), K&P Ch. 11 (Ashizawa), Ch. 12 (Krauss)

### **Japan and the International Community**

**October 27 (Th): Japan and the United Nations**

Reading: Green Ch. 7 (p. 193-207), Watanabe (LL)

**November 1 (T): Japan and “War on Terror”**

Reading: Leheny (LL), Midford (LL)


**November 3 (Th): Japan and the WTO**

Reading: K&P Ch. 10 (Pekkanen)

## **Research Paper Due**

**November 7 (Mon) by 4:00 pm to my office (VKC 309): please also turn in the computer file.**

**November 8 (Th): Japan and the IFIs**  
**Reading:** Green Ch. 8, K&P (Katada)

 **ID Quiz 2**

## **Panel Sessions and Conclusion**

**November 10 (Th): PANEL 1**

**Reading:** TBA

**November 15 (T): PANEL 2**

**Reading:** TBA

**November 17 (Th): PANEL 3**

**Reading:** TBA

**November 22 (T): PANEL 4**

**Reading:** TBA

**November 24 (Th): Thanksgiving Holiday --- No Class**

**November 29 (T): PANEL 5**

**Reading:** TBA

## **Future Scenarios for Japan**

**December 1 (Th): Conclusion**

**Reading:** Green Ch. 9, K&P Ch. 13 (Krauss and Pempel)

## **FINAL TAKE-HOME EXAM**

**Distributed on December 1 (Th) in class must be turned in December 9 (Th) by noon to my office (VKC 309). NO LATE EXAMS WILL BE ACCEPTED.**

## Attachment 1: Total-e Instruction

# TOTAL Student Starter



### Log in

1. Launch your web browser and go to **http://totale.usc.edu**
2. In the red login box, enter your USC username and password  
**Example:** Tommy Trojan's USC email is ttrojan@usc.edu. His username is ttrojan. His password is a secret –as is yours.
3. Your own MyUSC portal page appears with a listing of all your courses. To enter a course you are enrolled in, simply click on its link.

### Edit Your

### Personal Info

including your preferred email address

If you normally use an email account other than your USC email account, it is recommended that you change your listed email address in your personal information record in TOTALe. Otherwise, you may never see critical email from your professor or classmates that is sent to your USC email account.

1. From any course, click the **Tools** button.
2. Click the **Personal Information** link.



[Personal Information](#)

3. Click the **Edit Personal Information** link, and proceed to edit the listed information. Click **Submit** when complete. Your changes will be reflected in all your TOTALe courses.

### Set Your

### Privacy Options

to add yourself to course rosters and mail lists

In accordance with online privacy laws, TOTALe protects your personal information. You must choose which information is available to other TOTALe users, including your instructors, TAs and classmates.

1. In the specific course, click the **Tools** button.
2. Click the **Personal Information** link.
3. Click the **Set Privacy Options** link, and proceed to check all the information you wish to make available. Click **Submit** when complete. Your changes will be reflected in all your TOTALe courses.

## **Attachment 2: Research Papers and Panel Discussion Sessions**

### ***Introduction***

To analytically understand Japanese foreign policy, we are particularly interested in the following:

- a. Changes and continuities in the international environment -- oil crisis, end of the Cold War, globalization of international economy, and IT revolution.
- b. Changes and continuities in the interests and attitudes of people (both elite policymakers and public) toward the rest of the world.
- c. Changes and continuities in the opportunities and constraints that Japan has faced.
- d. Consistencies and inconsistencies of the way Japanese foreign policy is viewed in Japan and by the rest of the world, particularly by the United States.

We would like to have good opportunities to synthesize and better understand these issues (1) by writing an individual research paper on the topic that reflect on the above questions, and (2) by discussing the findings and conclusions of each student in panel discussions. Finally, we hope to come up with comprehensive and/or original conclusions regarding Japanese foreign policy formation.

### ***Research papers***

**Ideas and the title (due September 20):** The research paper topic should be on an issue related to Japanese foreign policy and/or Japan's relations with the rest of the world (suggested topics below).

**300-word proposal with an annotated bibliography (due October 4):** This should not be a summary or introduction of your paper. It is a document that should convince me that you have a great idea that will work out well in this assignment, particularly given the fact that the paper will also be used for the basis of your panel discussion. Justify your selection of topic and demonstrate its feasibility stating that there is adequate material in the library that you have already located. An annotated bibliography should include the citations with comment on how this item will actually be useful for your paper. I will count this proposal as about one third (1/3) of the grade on your paper, for this is a very important process.

**3,000-4,000 word final draft (plus bibliography) of research paper (due November 7, 4:00 pm):** Research papers are due by 4:00 pm. 3,000-4,000-word translates to 13-15 page paper plus bibliography if you use relatively small fonts and reasonable margins. Because we would need these papers in order to prepare for panel discussions, **NO LATE PAPERS WILL BE ACCEPTED.**

**Revision of research paper (due within five days of your panel):** You have an option of revising your paper after going through your panel session. You must turn in the revised and final paper to my office (VKC 309) within five days of your panel session (by 4:00 pm of that day), otherwise, I will take your final draft (handed in on November 7) as your final version.

### ***Research paper structure***

For your research paper topic, I recommend that you pick an issue which is not completely resolved, and which has some long history to explain the continuity and changes of Japanese

policy/relations. Inclusion of the following questions may help make the paper an analytical piece, although these are made very abstract to fit a variety of topics that you may choose.

- a. Historical summary: what is the issue, why does it matter to Japan? How has Japanese policy and public opinion (both in Japan and abroad) changed during the course of recent history (post-WWII)? How have official positions and policies of Japan (and actors within Japan) changed on the issue?
- b. Analysis of policy option: what was the range of policy options -- which ones remained and which were ruled out? What domestic and international constraints have been important for Japanese policy makers in different periods? How have the Japanese government's and public perceptions mattered?
- c. Analysis of policymaking process: Is the issue a routine decision-making process or has there been a crisis? How does the process affect the policy outcome?
- d. Conclusion: Have you found any mismatch between perceptions in Japan and perceptions elsewhere of the nature of the problem, constraints and opportunities? Do you see any trend through the recent history in the perceptions of the problem and of Japan's actual options? On the issue, would you recommend continuing the policy that Japan is currently pursuing if you were a political advisor to the Japanese government? If not, what are alternatives?

### ***Suggested topics***

- a. General issues that have a sufficiently long history:
  - The Northern Islands dispute with Russia (and former Soviet Union)
  - Territorial disputes with Korea and/or China
  - Protectionism and international trade regime (GATT/WTO)
  - Whaling
  - Fishing and Exclusive Economic Zones
  - Nuclear energy and weapons industries
  - Regional and global trade and investment arrangements
- b. A significant long-lasting bilateral relationship (other than U.S.)
  - China, South Korea, North Korea, USSR, Vietnam, and others.
- c. Contentious issues in the U.S.-Japan relationship
  - Security alliance, Okinawa, Article 9 of the Japanese constitution.
  - Trade disputes, Technology transfers, Exchange rate coordination
- d. Contentious issues in the Japan-Asia relationship
  - Japan and regional security arrangement in Asia
  - Japan's role in APEC or other regional economic integration efforts
  - Japan and the Asian financial crisis
- e. Japan's relationship with a multilateral body governing issues with which Japan has become increasing importance in the post-WWII era.
  - UN, UNHCR, GATT, IAEA, OECD, World Bank, IMF, Asian Development Bank etc.
- f. Japan's international resource politics, and Japan in the world of technology divide.
- g. Japan's reaction to hot conflicts in which Japan's position has given the world much concern.

### *Panel discussions*

Panel group constructed based on the topics you have chosen (by October 11): I will group the class into five (or six) panels of related topics, and we will spend several sessions discussing the related topics in the latter half of November.

Brief readings selected (by November 9): The students who have written papers in a particular group on particular topic will be the in-house experts, and they can provide brief readings on the topic for the entire class to read as preparation for their session.

Panel discussion sessions (November 10-29): The purpose of each panel session will be (1) to identify the problems and constraints that Japan has encountered in the particular issue area or event, and (2) to identify the match between Japan's capacity to affect the outcome in the issue and Japan's effort to do so.

Each panel session should consist of a brief presentation of individual paper (5-minutes) and discussions among the panelists. For the presentation, I would recommend that you write a one- or two-page hand-out summarizing the basic history and/or facts and one paragraph for your conclusion.

The panel group must coordinate and plan the panel discussion that follows the individual presentations (about 30 minutes). I suggest that panel members read each other's papers and understand respective arguments so that the panel will include substantive discussion. Later there will be a question and answer session (about 10 minutes) involving the whole class and myself.

### **Attachment 3: Reserved Readings**

*Posted on our class web, and two physical copies on reserve at Leavey Library*

#### **Kristof**

Nicholas D. Kristof, "The Problem of Memory," Foreign Affairs, Vol. 77, No. 6, November/December 1998, p. 37-49.

#### **Shibuichi**

Daiki Shibuichi "The Yasukuni Shrine Disputes and the Politics of Identity in Japan: Why All the Fuss?" Asian Survey, Vol. 45, No. 2, March/April 2005, p. 197-215.

#### **Inoguchi**

Takashi Inoguchi, Japanese Politics: An Introduction, TransPacific Press, 2005, p. 40-66.

#### **Matthews**

Eugene A. Matthews, "Japan's New Nationalism," Foreign Affairs, Vol. 82, No. 6, November/December 2003, p. 74-90.

#### **Pempel**

T.J. Pempel, "Regime Shift: Japanese Politics in a Changing World Economy," The Journal of Japanese Studies, Vol. 23, No. 2, 1997, p. 333-361.

#### **Calder**

Kent E. Calder, "Japanese Foreign Economic Policy Formation: Explaining the Reactive State," World Politics, Vol. 40, July 1988, p. 517-541.

#### **Berger**

Thomas Berger, "From Sword to Chrysanthemum: Japan's Culture of Anti-Militarism," International Security, Spring 1993, p. 119-150.

#### **Blake**

Michael Blaker, "Probe, Push, and Panic: The Japanese Tactical Style in International Negotiations" in Robert A. Scalapino (ed.), The Foreign Policy of Modern Japan, 1977, p. 55-101.

#### **Tsuchiyama**

Jitsuo Tsuchiyama, "Why Japan is Allied? Politics of the U.S.-Japan Alliance," in Saori N. Katada, Hanns W. Maull and Takashi Inoguchi (eds.), Global Governance: Germany and Japan in International System, forthcoming 2004, p. 73-82.

#### **Campbell**

John Creighton Campbell, "Japan and the United States: Games that Work," in Gerald L. Curtis (ed.), Japan's Foreign Policy after the Cold War: Coping with Change, 1993, p. 43-61.

#### **Uriu**

Robert Uriu, "The Impact of Policy Ideas: Revisionism and the Clinton Administration's Trade Policy toward Japan," Gerald L. Curtis (ed.), New Perspectives on U.S.-Japan Relations, 2000, p. 213-240.

#### **Fouse**

David Fouse, "Japan's Post-Cold War North Korea Policy: Hedging toward Autonomy?" Asian Affairs, an American Review, Summer 2004, Vol. 31, No. 2, p. 102-120.

**Leavitt**

Sandra Leavitt, "The Lack of Security Cooperation between Southeast Asia and Japan: Yen Yes, Pax Nippon No," Asian Survey, Vol. 45, No. 2, May/June 2005, p. 216-240.

**Watanabe**

Hiroataka Watanabe, "Japan's UN Diplomacy: History and Current Issues," Japan Echo, Vol. 32, 2005, p. 26-30.

**Leheny**

David Leheny, "Tokyo Confronts Terror," Policy Review, December 2001 and January 2002, p. 37-47.

**Midford**

Paul Midford, Japan's Response to Terror: Dispatching the SDF to the Arabian Sea, Asian Survey, Vol. 43, No. 2, March /April 2004, p. 329-351.